

COMPLIANCE AUDIT REPORT

November 2013 v1



Homes &
Communities
Agency

COMPLIANCE AUDIT YEAR 2014

Partnership Name	Ryedale District Council
Partnership ID	12186
Lead Partner Name	Ryedale District Council
Lead Partner ID	36UF
Date of Audit Visit	26 th August 2014
Date HCA Report Issued	22 nd May 2015
Independent Auditor Name	Deloitte LLP
HCA Lead Auditor Name	Helen Forman
List of Audited Schemes	See attached scheme sample report

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A	PARTNERSHIP OVERALL AUDIT ASSESSMENT
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A 1 Overall audit assessment

Grade Green – meets requirements

Overall it is considered that the Partnership met HCA’s requirements for procedural compliance.

A 2 Developing partner’s individual assessment

Partner name	Partner ID	Audit Type	HCA Assessment
Ryedale District Council	36UF	Procedural	Grade Green – meets requirements

B	PROCEDURAL ASSESSMENT
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B 1 Procedural audit summary

Number of schemes procedurally audited and number of breaches

1 scheme has been audited; no deficiencies were identified by the independent auditor.

Procedural Recommendations

None.

C	QUALITY ASSESSMENT
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C 1 Quality audit summary

There were no quality audits carried out for this partner this year.

D	HCA SIGNATURE
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This report is confidential between the Homes and Communities Agency and partners within the partnership agreement.

The breaches identified in this report should be noted and accepted by the partner/s and remedial action should be taken in accordance with the recommendations within this report to ensure that there is no re-occurrence.

The information contained in this report has been compiled purely to assist the Homes and Communities Agency in its statutory duty in relation to the payment of grant to partners.

The Homes and Communities Agency accepts no liability whatsoever for the accuracy or completeness of any information contained herewith.

The assessment is confidential to the addressee and no third party can place any reliance upon it.

Name and Position: Helen Forman, Design Manager

Signature and Date:

E	LEAD PARTNER'S SIGNATURE
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The breaches identified in this report have been noted and accepted and remedial action will be taken in accordance with the above recommendations to ensure that there is no re-occurrence.

Name and Position:

Signature and Date:

APPENDIX 1**PROCEDURAL BREACHES**

There were no procedural breaches.

APPENDIX 2**QUALITY AUDIT FINDINGS**

There were no quality audits carried out for this partner this year.

APPENDIX 3

OBJECTIVES OF COMPLIANCE AUDIT

Compliance Audit visits are made to partners to check compliance with the Homes and Communities Agency's procedures and funding conditions. If you have any queries of the detail of the audit, please refer back to the HCA lead auditor who assessed the audit.

If you wish to feedback comments on the methodology of Compliance Audit, please contact:

*Team Leader - Design and Sustainability
Homes and Communities Agency
London Corporate Office*

APPENDIX 4

PROCEDURAL GRADES DEFINITIONS

Grade Green - meets requirements

The HCA Audit Report will normally express general satisfaction with a Partner's overall performance. It may also identify areas where minor improvements are required.

Grade Amber - fails to meet requirements

This grade is given where the Partner fails to meet requirements but no misapplication of public money has occurred. The Partner will be expected to correct the problem(s) in future schemes and current developments where possible, but the problem(s) do not prevent the scheme objectives from being fulfilled. The HCA Audit Report should set out the areas of non compliance and the remedial action required.

Grade Red - serious failure to meet requirements

This grade is given where there is a serious failure to meet requirements. The serious failures may involve:

- Misuse of public funds;
- A scheme failing materially to meet its objectives; and/or
- A range of failures that casts serious doubt over the Partner's competence, for example where there is an on-going trend of Grade Amber failure.

APPENDIX 5

QUALITY MEASURES DEFINITIONS

Quality is reported by reference to resident satisfaction and the core performance standards for internal environment, external environment and sustainability set out in the Design and Quality Standards April 2007.

Resident Satisfaction measure: outcomes of the resident interviews conducted as part of the quality audit on-site assessment.

Internal Environment measure: Internal environments should be comfortable, convenient, capable of sensibly accommodating the necessary furniture and equipment associated with specific room activities and be suitable for the particular needs of intended user groups.

External Environment measure: The development of new homes should be undertaken in a manner which delivers great places to live, creates well-mixed and integrated communities and provides an appropriate balance between private and public open space.

Sustainability measure: New homes should be designed and constructed in a sustainable manner using products and processes that reduce environmental impact, better adapt to climate change, with lower running costs and incorporating features that enhance the health and well-being of constructors, occupiers and the wider community.